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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number

10/626,083

Filing Date

July 24, 2003

First Named Inventor

Lilip Lau

Art Unit

3731

Examiner Name

Unassigned

Attorney Docket Number

ACS 64390

ENCLOSURES (check all that apply)

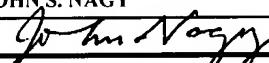
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP	
Signature		
Date	October 30, 2003	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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John Nagy
John Nagy, Reg. No. 30,644

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)	Examiner: S. Jackson
Inventors: Lilip Lau, William Hartigan,)	Group Art Unit: 3738
John J. Frantzen)	
U.S. Serial No. 09/779,078)	Docket No. ACS 56656 (3801.10.2)
Filed: February 8, 2001)	
For: EXPANDABLE STENTS AND)	
METHOD FOR MAKING SAME)	June 29, 2001

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The documents listed on the enclosed Form FB-A820 have come to the attention of the Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c). This application is a division of U.S. Serial No. 09/561,098 filed April 28, 2000, which is a division of U.S. Serial No. 09/135,222 filed August 17, 1998 which is a division of U.S. Serial No. 09/055,582 filed April 6, 1998, which is a division of U.S. Serial No. 08/783,097 filed January 14, 1997, now U.S. Patent No. 5,735,893, which is a division of 08/556,516 filed November 13, 1995, now U.S. Patent No. 5,603,721, which is a division of U.S. Serial No. 08/281,790, filed July 28, 1994, now U.S. Patent No. 5,514,154, which is a continuation in part of U.S. Serial No. 08/164,986 filed December 9, 1993,

now abandoned, which is a continuation of Serial No. 07/783,558, filed October 28, 1991, now abandoned. A copy of the documents listed on the Information Disclosure Citation Form PTO-FB-A820 can be found in U.S. Serial No. 09/561,098; U.S. Serial No. 09/135,222; and U.S. Serial No. 09/055,582. Applicants will submit any or all of the references listed on Form PTO-FB-A820 for the Examiner's convenience if so requested.

Applicant believes this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore no fee is due. However, if a fee is in fact due, please charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


John S. Nagy
Registration No. 30,664

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Enclosure

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Telephone: (310) 824-5555

 <p>INFORMATION DISCLOSURE CITATION (Use Several Sheets if Necessary)</p>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
		ATTY. DOCKET NO.	ACS 56656
		APPLICANT	Lau et al.
		FILING DATE	02/08/01
		GROUP	3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	2,701,559	02/1955	Cooper			
	3,105,492	10/01/63	Jeckel			
	3,657,744	04/25/72	Ersek			
	3,868,956	03/04/75	Alfidi et al.			
	3,993,078	11/23/76	Bergentz et al.			
	4,130,904	12/26/78	Whalen			
	4,140,126	02/20/79	Choudhry			
	4,159,719	07/30/79	Haerr			
	4,323,071	04/06/82	Simpson et al.			

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	GB 2 070 490 A	09/09/81	United Kingdom				
	GB 2 135 585 A	09/05/84	United Kingdom				
	EP 0 221 570 A2	05/13/87	European Patent Office				
	DE 36 40 745 A1	06/04/87	German Patent Office				
	EP 0 380 668	10/07/88	European Patent Office				

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	Dotter, Charles T., <i>Transluminally Placed Coilspring Endarterial Tube Grafts</i> , <u>Investigative Radiology</u> , pp. 329-332, September/October 1969
	Rösch, J., M.D., et al., <i>Transjugular Intrahepatic Portacaval Shunt: An Experimental Work</i> , <u>The American Journal of Surgery</u> , pp. 588-592, Volume 121, May 1971
	Dotter, Charles T., <i>Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report</i> , <u>Radiology Journal</u> , pp. 259-260, April 1983
	Cragg, et al., <i>Non-Surgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire</i> , <u>Radiology Journal</u> , pp. 261-263, April 1983

EXAMINER	DATE CONSIDERED
EXAMINER	Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

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	4,387,952	06/14/83	Slusher			
	4,503,569	03/12/85	Dotter			
	4,504,354	03/12/85	George et al.			
	4,512,338	04/23/85	Balko et al.			
	4,516,972	05/14/85	Samson			
	4,531,933	07/30/85	Norton et al.			
	4,553,545	11/19/85	Maass et al.			
	4,560,374	12/24/85	Hammerslag			
	4,580,568	04/08/86	Gianturco			

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						YES	NO
	DE 38 23 060 A1	01/19/89	German Patent Office				
	WO 89/01798	03/09/89	PCT				
	WO 89/08433	09/21/89	PCT				
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	Maass, et al., <i>Radiological Follow-Up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals</i> , Radiology Journal, pp. 659-663, 1984
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	Wright, et al., <i>Percutaneous Endovascular Stents: An Experimental Evaluation</i> , Radiology Journal, pp. 69-72, 1985
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	4,616,652	10/14/86	Simpson			
	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,649,922	03/17/87	Wiktor			
	4,650,466	03/17/87	Luther			
	4,655,771	04/07/87	Wallsten			
	4,665,918	05/19/87	Garza et al.			
	4,681,110	07/21/87	Wiktor			
	4,706,671	11/17/87	Weinrib			
	4,733,665	03/29/88	Palmaz			

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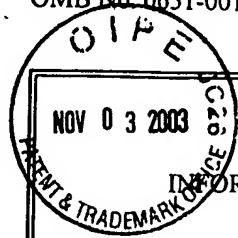
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	Palmaz, et al., <i>Expandable Intraluminal Graft: A Preliminary Study</i> , Radiology Journal, pp. 73-77, 1985
	72 nd Scientific Assembly and Annual Meeting: RSNA Scientific Program, <i>Radiology</i> , Chicago: November 30-December 5, 1986, Special Edition, Volume 161(P)
	Wallace, Michael J., et al., <i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications (Work in Progress)</i> , <i>Radiology</i> , pp. 309-312, Volume 158, February 1986
	Program: Day 2 (November 18) The Radiological Society of North America, <i>Radiology</i> , Chicago: November 30-December 5, 1986, Special Edition, Volume 161(P)
	72 nd Scientific Assembly and Annual Meeting: RSNA Scientific Program, <i>Radiology</i> , Chicago: November 30-December 5, 1986, Special Edition Volume 161(P)

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ATTY. DOCKET NO.	ACS 56656	SERIAL NO.	09/779,078
APPLICANT	Lau et al.		
FILING DATE	02/08/01	GROUP	3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	4,739,762	04/26/88	Palma			
	4,740,207	04/26/88	Kreamer			
	4,748,982	06/07/88	Horzewski et al.			
	4,760,849	08/02/88	Kropf			
	4,762,128	08/09/88	Rosenbluth			
	4,767,418	03/08/88	Deininger			
	4,768,507	09/06/88	Fischell et al.			
	4,776,337	10/11/88	Palma			
	4,795,458	01/03/89	Regan			

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						YES	NO
	EP 0 421 729 A2	04/10/91	European Patent Office				
	EP 0 423 916 A1	04/24/91	European Patent Office				
	EP 0 428 479 A1	05/22/91	European Patent Office				
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		APPLICANT	Lau et al.
		FILING DATE	02/08/01
		GROUP	3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	4,800,882	01/31/89	Gianturco			
	4,830,003	05/16/89	Wolff et al.			
	4,848,343	07/18/89	Wallsten et al.			
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	4,870,966	10/03/89	Dellon et al.			
	4,877,030	10/31/89	Beck et al.			
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						YES	NO
	EP 0 517 075 A1	05/26/92	European Patent Office				
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	EP 0 062 300	10/31/92	European Patent Office				
	EP 0 540 290 A2	10/27/92	European Patent Office				
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	Finci, Leo, M.D., et al., <i>Percutaneous Transluminal Coronary Angioplasty of a Bifurcation Narrowing Using the Kissing Wire Monorail Balloon Technique</i> , <i>The American Journal of Cardiology</i> , April 1987
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	Yoshioka, Tetsuya, et al., <i>Self-Expanding Endovascular Graft: An Experimental Study in Dogs</i> , <i>American Journal of Roentgenology</i> , pp. 673-676, Volume 151, October 1988

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	4,892,539	01/09/90	Koch			
	4,893,623	01/06/90	Rosenbluth			
	4,907,336	03/13/90	Gianturco			
	4,913,141	04/03/90	Hillstead			
	4,921,479	05/01/90	Grayzel			
	4,922,905	05/08/90	Strecker			
	4,923,464	05/08/90	DiPisa, Jr.			
	4,943,346	07/24/98	Mattelin			
	4,950,227	08/21/90	Savin et al.			

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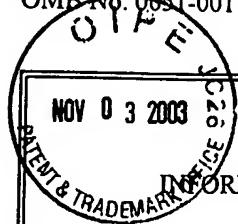
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	FR 2 677 872	12/24/92	French Patent Office				
	EP 0 540 290 A2	05/05/93	European Patent Office				
	EP 0 541 443 A1	05/12/93	European Patent Office				
	58-501458	09/01/83	Japan				
	62-213762	09/19/87	Japan				

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	Yoshioka, et al. "Development and Clinical Application of Biliary Endoprosthesis Using Expandable Metallic Stents," Japan Radiological Society, 1988, Vol. 48 No .9, pp. 1183-85. (with translation).
	Yoshioka, et al. "Self Expanding Endovascular Graft: An Experimental Study in Dogs," <i>American Journal of Roentgenology</i> , 1988, Vol. 151, pp.673-76.
	Mirich, et al. "Percutaneously Placed Endovascular Grafts for Aoertic Aneurysms: Feasibility Study," <i>Radiology</i> , 1989, part 2, p1033-37.

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	4,963,022	10/16/90	Sommargren			
	4,969,458	11/13/90	Wiktor			
	4,969,890	11/13/90	Sugita et al.			
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	62-231657	10/12/87	Japan				
	62-235496	10/15/87	Japan				
	63-21464	09/06/88	Japan				
	63-246178	10/13/88	Japan				
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	Furui, Shigeru, M.D., et al., <i>Hepatic Inferior Vena Cava Obstruction: Treatment of Two Types with Gianturco Expandable Metallic Stents</i> , Radiology, pp. 665-670, September 1990
	Kaltenbach, M., Prof. Dr., Abstracts, Zeitschrift für Kardiologie, April 3, 1991 (German only)
	van der Giessen, Willem J., et al., <i>Coronary Stenting With a New, Radiopaque Balloon-Expandable Endoprosthesis in Pigs</i> , Circulation, Vol. 83, No. 5, pp. 93-149, May 1991
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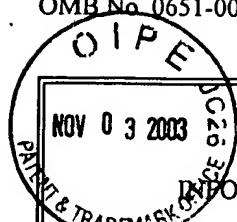
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	5,019,090	05/28/91	Pinchuk				
	5,026,377	06/25/91	Burton et al.				
	5,034,001	07/23/91	Garrison et al.				
	5,035,706	07/30/91	Gianturco et al.				
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	2-174859	07/06/90	Japan				
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ATTY. DOCKET NO. ACS 56656

SERIAL NO. 09/779,078

APPLICANT Lau et al.

FILING DATE 02/08/01

GROUP 3738

INFORMATION DISCLOSURE CITATION
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,061,273	10/29/91	Yock			
	5,061,275	10/29/91	Wallsten et al.			
	5,062,829	11/05/91	Pryor et al.			
	5,064,435	11/12/91	Porter			
	5,071,407	12/10/91	Termin et al.			
	5,073,694	12/17/91	Tessier et al.			
	5,078,720	01/07/92	Kreamer			
	5,078,726	01/07/92	Behl			
	5,084,065	01/28/92	Weldon et al.			

FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,089,005	02/18/92	Harada			
	5,089,006	02/18/92	Stiles			
	5,092,877	03/03/92	Pinchuk			
	5,100,429	03/31/92	Sinofsky et al.			
	5,102,417	04/07/92	Palmaz			
	5,104,404	04/14/92	Wolff			
	5,108,416	04/28/92	Ryan et al.			
	5,108,417	04/28/92	Sawyer			
	5,116,318	05/26/92	Hillstead			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	3-57465	03/12/91	Japan				
	3-151983	06/28/91	Japan				
	4-25755	02/28/92	Japan				

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	5,116,360	05/26/92	Pinchuk et al.			
	5,116,365	05/26/92	Hillstead			
	5,122,154	06/16/92	Rhodes			
	5,123,917	06/23/92	Lee			
	5,133,732	07/28/92	Wiktor			
	5,135,536	08/04/92	Hillstead			
	5,158,548	10/27/92	Lau et al.			
	5,161,547	11/10/92	Tower			
	5,163,951	11/17/92	Froix			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,163,952	11/17/92	Froix			
	5,163,958	11/17/92	Pinchuk			
	5,171,262	12/15/92	MacGregor			
	5,180,368	01/19/93	Garrison			
	5,183,085	02/02/93	Timmermans			
	5,192,297	03/09/93	Hull			
	5,192,307	03/09/93	Wall			
	5,192,311	03/09/93	King et al.			
	5,195,984	03/09/93	Schatz			
	5,197,978	03/30/93	Hess			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,217,482	06/08/93	Keith			
	5,222,971	06/29/93	Willard et al.			
	5,226,913	07/13/93	Pinchuk			
	5,234,456	08/10/93	Silvestrini			
	5,242,394	09/93	Barath et al.			
	5,242,399	09/07/93	Lau et al.			
	5,242,452	09/07/93	Inoue			
	5,282,823	02/01/94	Schwartz et al.			
	5,282,824	02/01/94	Gianturco			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,290,295	03/01/94	Querals et al.			
	5,290,305	03/01/94	Gianturco			
	5,292,331	04/94	Boneau			
	5,304,200	04/19/94	Spaulding			
	5,314,444	05/24/94	Gianturco			
	5,314,472	05/24/94	Fontaine			
	5,330,500	07/19/94	Song			
	5,344,426	09/06/94	Lau et al.			
	5,354,308	10/11/94	Simon et al.			
	5,356,433	10/94	Rowland et al.			
	5,360,401	11/01/94	Turnlund			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,368,566	11/29/94	Crocker			
	5,372,600	12/13/94	Beyar et al.			
	5,378,239	01/03/95	Termin et al.			
	5,383,892	01/24/95	Cardon et al.			
	5,405,378	04/11/95	Strecker			
	5,421,955	06/06/95	Lau			
	5,423,745	06/1995	Todd et al.			
	5,423,885	06/13/95	Williams			
	5,445,646	08/29/95	Euteneuer et al.			

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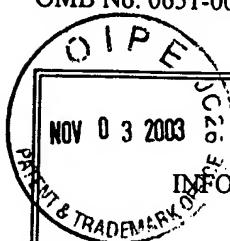
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
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U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,449,373	09/1995	Pinchasik			
	5,456,694	10/10/95	Marin et al.			
	5,458,615	10/17/95	Klemm et al.			
	5,476,476	12/19/95	Hillstead			
	5,484,449	01/16/96	Amundson et al.			
	5,507,768	04/16/96	Lau et al.			
	5,514,154	03/07/96	Lau et al.			
	5,545,132	08/1996	Fagan et al.			
	5,571,135	11/05/96	Fraser et al.			
	5,603,721	02/1997	Lau et al.			
	5,626,604	05/1997	Cottone et al.			

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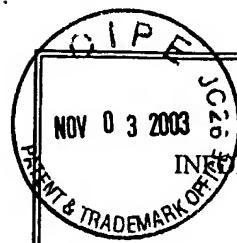
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,653,690	08/05/97	Booth et al.			
	5,653,691	08/05/97	Rupp et al.			
	5,653,727	08/05/97	Wiktor			
	5,716,396	02/1998	Williams			
	5,720,726	02/1998	Marcadis et al.			
	5,733,303	03/1998	Israel			
	5,733,325	03/1998	Robinson			
	5,735,893	04/07/98	Lau et al.			
	5,782,855	07/21/98	Lau et al.			
	5,800,521	09/1998	Orth			
	5,810,871	09/22/98	Tuckey et al.			

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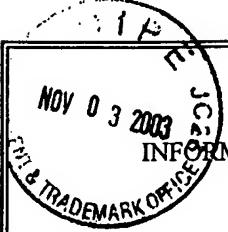
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				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
		5,817,152	10/1998	Birdsall			
		5,830,217	11/03/98	Ryan			
		5,836,965	11/17/98	Jendersee et al.			
		5,893,852	04/13/99	Morales			
		5,902,332	05/1999	Schatz			
		5,984,964	11/1999	Roberts et al.			
		5,997,468	12/1999	Wolff et al.			
		6,030,413	02/2000	Lazarus			
		6,146,358	11/2000	Rowe			
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